

ICA Live: Africa, in partnership with Navigate Compliance

Dates: 27, 28 and 29 October 2021

Start time: 10:00 (SAST) – Finish time 15:00 (SAST)

NB: This is a virtual event

Day one 27 October 2021

<p>10.05am - 10.55am SAST</p>	<p>Building the Africa We Want</p> <p>Africa belongs to all its people; therefore, the future of the continent is our collective future. Making this work is our collective responsibility. We must draw upon our collective successes and our failures, to do more to drive the vision of an African Renaissance – a prosperous Africa, an Africa of good governance, shared values, and ethics.</p> <p>There is enormous potential and talent throughout the continent. There is tremendous dynamism, creativity, energy, and innovation, unlike anywhere else, all of which can drive transformational change, as well as economic, political, and social growth. We must embrace this opportunity, it is our future, as a community we must rise bold and determined from local beginnings to global futures.</p> <p>In this session Bradley Wattrus will discuss inspirational leadership, Simon Hurry will discuss the importance of and the need to embrace talent, and Wale Ayantoye will discuss the drive of technology.</p> <p>Wale Ayantoye, <i>Global Head of Product Compliance and Risk Management, Flutterwave, Nigeria</i> Bradley Wattrus, <i>Co-Founder and Chief Financial Officer (CFO), Yoco, South Africa</i> Simon Hurry, <i>Chief Inspiration Officer, PlayNicely, South Africa</i></p>
<p>11.00am - 11.50am SAST</p>	<p>Tech: Is there a Risk of Falling Behind?</p> <p>Given the rapid development and implementation of new technologies across Africa, companies and regulators are fighting to keep pace with emerging risks associated with the use (and abuse) of these innovations.</p> <p>In the face of an increasingly complex technological landscape, some in compliance may feel apprehensive about the deployment of new technological solutions or products. But at the same time, as technologies such as AI, mobile payments, digital banking and Big Data increasingly touch all aspects of our personal and professional lives, the risk of falling behind is almost greater than the risk of rushing in unprepared.</p>

	<p>This session considers recent technological developments, notably in South Africa, Nigeria, Kenya, Mauritius and Egypt, and more recently in Ghana, Ethiopia and Rwanda; the practical compliance implications of implementing new technologies, platforms and products; and the challenges of managing the associated risk(s).</p> <p>Gur Geva, <i>Founder and CEO, iiIDENTIFii, South Africa</i> Kagiso Mothibi, <i>Head of Fintech Unit, Financial Sector Conduct Authority (FSCA), South Africa</i> Mo Bammeke, <i>Chief Compliance Officer, Flutterwave, Nigeria</i> Henri Nyondo, <i>Head of Risk and Compliance, Vodacom Tanzania Plc, Tanzania</i> Riyaadh Hanslo, <i>Head of Risk and Regulation, Yoco, South Africa</i></p>
11.55am - 12.40pm SAST	<p>Where Crypto and VASP Compliance gets interesting</p> <p>The crypto, or virtual asset, environment in Africa remains largely unregulated, although Virtual Asset Service Providers (VASPs) from across the continent continue to work proactively to be compliant with FATF guidance, in the knowledge that Africa will soon be part of the virtual asset regulatory map.</p> <p>In South Africa, for example, draft policy on the regulation of virtual assets calls for the development of a regulatory framework. The policy is based on international requirements and includes the implementation of the FATF Travel Rule, which requires VASPs to collect and share personal data during transactions. Such regulatory initiatives will be instrumental in ensuring the integrity of the financial system and demonstrating the commitment of South Africa, and other African states, to combatting financial crime.</p> <p>In this session, the panel will discuss the challenges and opportunities faced by VASPs in implementing a risk management framework, why this shouldn't be an afterthought, and why the FATF Travel Rule will remain a top priority.</p> <p>Dev Odedra, <i>Founder, Minerva Stratagem Consulting/The Laundry, UK</i> Eva Crouwel, <i>Head of Financial Crime and Investigations, Luno, South Africa</i> Nerushka Bowan, <i>Director and Head of Innovation, Norton Rose Fulbright, South Africa</i> David Carlisle, <i>Director of Policy and Regulatory Affairs, Elliptic, UK</i> Eric Annan, <i>Founder, Digitalkudi and Ayacash, Ghana</i></p>
12.50pm – 1.30pm SAST	<p>Free Trade Zones, Illicit Finance and Financial Crime Risk</p> <p>The African Continental Free Trade Area (AfCFTA) is the world's largest free trade area by number of countries, covering an estimated market potential of 1.2 billion people and an aggregate gross domestic product (GDP) of approximately \$2.5 trillion.</p> <p>But while AfCFTA is seen as a means of promoting economic growth and investment between African states, it also has a darker side. Like the Free Trade Zones in Morocco, Gambia, Djibouti and Nigeria, it carries the potential exposures to illicit trade and financial crime, including but not limited to the transportation of illicit, counterfeit and contraband goods, money laundering and terrorist financing. Sanctions and tax evasion risks are also cause for concern.</p> <p>This panel session will discuss what a free trade zone is, how and why they are being used, and the importance of assessing risk at a zonal level.</p>

	<p>Alexandria Reid, <i>Research Fellow in National Securities, Royal United Services Institute (RUSI), UK</i></p> <p>Sofia El Mansouri, <i>Founder, The Compliance Lady, Morocco</i></p> <p>Ehi Eric Esoimeme, <i>Managing Partner, E-Four and AAF, Nigeria</i></p> <p>Jonathan Siklos, <i>Regional Director, Diligencia, UAE</i></p>
<p>1.35pm – 2.25pm SAST</p>	<p>Moving Towards a Meaningful Common Position on Asset Recovery</p> <p>Every year, approximately US\$88.6 billion – equivalent to 3.7% of Africa’s GDP – leaves Africa as illicit capital flight; a strong indicator that criminals and corrupt officials alike are hiding their illicit financial gains overseas. African countries continue to face challenges in recovering these stolen assets from their regional and international counterparts and only a small proportion of such assets are ever returned. Reassuringly, however, more and more countries across the continent are stepping up their recovery efforts, following the example set by Nigeria.</p> <p>One notable initiative is the proposed Common African Position on Asset Recovery (CAPAR). CAPAR embodies collective efforts and strategies to recover and return African assets. Could it be the answer to a much-improved asset recovery framework that recognises not just an African problem, but a wider international problem? Only time will tell.</p> <p>This session will address the bigger picture of asset recovery, unpacking the current trends and challenges on the continent. Panellists will discuss what more can be done to yield improved results, and the potential costs and benefits of CAPAR will be explored.</p> <p><i>JP Willemse, Senior Financial Investigations Specialist, Basel Institute on Governance, Malawi</i></p> <p><i>Phillip Kagucia, Assistant Director and Head of Communications, Ethics and Anti-corruption Commission, Kenya</i></p> <p><i>Wilie Hofmeyr, Retired Head of Asset Forfeiture Unit, National Prosecuting Authority, South Africa</i></p> <p><i>Jean Phillip, Chief State Advocate, Ministry of Justice & Constitutional Affairs, Malawi</i></p> <p><i>Aidan Larkin, CEO & Co-Founder, Asset Reality, UK</i></p>
<p>2.30 – 3.25pm SAST</p>	<p>Freedom is an Attitude</p> <p>En route home to South Africa in November 2011, Stephen McGown was abducted by Al Qaeda and held hostage in Mali for almost 6 years. Uncertain as to whether he would ever be released, Stephen made a decision to not let his situation get the better of him. He decided to rather hold onto hope and take control of his attitude. In August 2017 he was finally released.</p> <p>In this session Stephen shares a message of making the most of all situations whether they are good or bad. He talks about making important decisions, about the importance of acceptance and communication, and he shares a concept that freedom is an attitude.</p> <p><i>Stephen McGowan, International Motivational Speaker and Best-Selling Author, South Africa</i></p>

Day two 28 October 2021

10.05am - 10.45am SAST	<p>The Fishrot Scandal</p> <p>Whistleblower Johannes Stefansson discusses the Fishrot Scandal with Martin Woods</p> <p>In 2019 Johannes Stefansson disclosed over 30,000 documents to Wikileaks – now known as “The Fishrot Files” – that detail how Samherij – an Icelandic Fishing Company – paid bribes estimated to be in excess of \$10 million USD, to senior officials in Namibia, in exchange for fishing quotas.</p> <p>Since then, Mr Stefansson has been cooperating with authorities, including special units of the Namibian government, such as the Anti-Corruption Commission, to expose the biggest corruption scandal in the histories of Namibia and Iceland.</p> <p>As a member of that courageous group of people who have made the ethical choice to expose bribery and corruption, Mr Stefansson will share his personal experience of the scandal and will discuss the compliance lessons to be learned.</p> <p>Johannes Stefansson, <i>Fishrot Whistleblower</i>, Iceland</p> <p>Martin Woods, <i>Chair of the Advisory Board</i>, Global Compliance Institute, UK</p>
10.50am - 11.35am SAST	<p>Human Smuggling, Trafficking & Modern Slavery</p> <p>Human smuggling, trafficking and modern slavery is a multibillion-dollar industry that targets and exploits vulnerable individuals across Africa – children, women and men. At any given time, it is estimated that 3.5 million Africans are being trafficked, and over nine million Africans are being enslaved. Nearly all African states are source, transit, and/or destination countries, making this a significant issue for all to address.</p> <p>This session will focus on understanding what Human Smuggling, Trafficking and Modern Slavery is, and how, why, where and what is happening. Gaining this level of understanding is critical to not only raise awareness, but to design and build the remediation programmes required for organisations in the public and private sectors to work in sync, to disrupt, and dismantle, the associated criminal syndicates.</p> <p>Neil Giles, <i>CEO</i>, Traffik Analysis Hub, UK</p> <p>Mohamed Dagar, <i>Regional Coordinator for Eastern Africa</i>, Institute for Security Studies, Kenya</p> <p>James Kofi Annan, <i>President</i>, Challenging Heights, Ghana</p> <p>Raouf Farrah, <i>Senior Analyst</i>, Global Initiative against Transnational Organized Crime, Tunisia</p>
11.40am - 12.25pm SAST	<p>The New Challenges of Data Privacy</p> <p>Given that there is currently no unified approach to data privacy across the African continent, keeping abreast of data privacy obligations can be a challenge for companies with an African footprint, in particular for those with cross border operations.</p> <p>While some countries, such as Morocco and Mauritius, have long-standing data privacy laws, many – including Nigeria, Egypt, Kenya, South Africa and Togo – have only recently implemented new legislation, while others have yet to do so. However, the 2016 EU General Data Protection Regulation (GDPR), is currently providing significant impetus for the further implementation of data privacy laws across the continent.</p> <p>This panel session will consider recent developments in the legislative landscape, providing guidance on how companies can best understand their data privacy obligations, both locally, regionally and globally.</p>

	<p>Trevor Hughes, <i>President and CEO, International Association of Privacy Professionals (IAPP), USA</i></p> <p>Melody Musoni, <i>Senior Legal Consultant, Phukubje Pierce Masithela Attorneys, South Africa</i></p> <p>Janine West, <i>Head of Privacy Office: Africa, Experian, South Africa</i></p> <p>Drudeisha Madhub, <i>Data Protection Commissioner, Data Protection Office, Mauritius</i></p>
12.35pm – 1.25pm SAST	<p>ESG: What is it and why does it matter?</p> <p>Environmental, social and governance (ESG) compliance has become an important and complex topic for organisations to get to grips with. With an ever-growing number of regulations and industry standards being introduced, companies across Africa need to be able to identify, assess and manage their ESG obligations.</p> <p>This session explores what ESG is, what it means, and how companies can establish a core framework that integrates ESG into the heart of business strategies, operating models and key processes, including KYC, third party risk management (TPRM) and reporting.</p> <p>Justin Smith, <i>Head of Business Development, WWF, South Africa</i></p> <p>Walid Al Saqqaf, <i>Founder and CEO, Rebalance Earth, UK</i></p> <p>Madeleine Ronquest, <i>Head of Environmental and Social Risk, Climate Change, FirstRand Limited, South Africa</i></p> <p>Seynabou Ba, <i>Founder, ESG Africa, Senegal</i></p> <p>Martin Woods, <i>Chair of the Advisory Board, Global Compliance Institute, UK</i></p>
1.30pm – 2.15pm SAST	<p>Ethics in the Workplace: Everyone’s Responsibility</p> <p>From damaging reputations, to harming employee morale, to diminishing society’s overall trust in organisations - unethical behaviour in the workplace has a significant impact that cannot and should not be ignored.</p> <p>This session will address why creating an ethical culture and ethics programme requires thinking about ethics not just as a behaviour problem, but also a design problem.</p> <p>Felicity Kirkman-Pillay, <i>Head of Market Conduct Advisory, Navigate Compliance, South Africa</i></p> <p>Tom Hardin, <i>Founder, Tipper X, USA</i></p> <p>Reica Rampersadh, <i>Product Manager, Sybrin, South Africa</i></p>
2.20 – 3.10pm SAST	<p>Regulator Roundtable</p> <p>Join representatives from regionals FIU’s and the FATF Regional Style Bodies (FRSBs) – ESAAMLG, GABAC, GIABA and MENAFATF - for a virtual Roundtable.</p> <p>The Roundtable will discuss local, regional and international trends, and the importance of public-private partnerships.</p>

Diphat Tembo, *Director Compliance and Prevention, Financial Intelligence Centre (Zambia), Zambia*
 Sydney Asubo, *Executive Director, Financial Intelligence Authority (Uganda), Uganda*
 Edwin Mtonga, *Director for Legal and Corporate Services, Financial Intelligence Authority (Malawi), Malawi*
 Muazu Umar, *Director, Policy and Research, GIABA, Senegal*

Day three 29 October 2021

10.05am - 10.45am SAST

Human Risk: just because you can, doesn't mean you should

When things go wrong within organisations, often the immediate response is to invest in new technology to address the failure. Therefore, while compliance officers may have traditionally come from a legal or audit background, we have seen an increasing requirement for them to develop technological understanding or expertise. However, in the midst of this trend, the human component of compliance has often been overlooked. Key to mitigating risk in an environment run by people is the ability to understand how people are likely to behave. By having the right skills and knowledge, the right mindset and, ultimately, the right supporting culture, companies can harness the full potential of their greatest assets: their people.

Christian Hunt of Human Risk will discuss why the human component is integral to building a successful compliance culture and programme, providing insight into the skills you need to acquire be a modern compliance professional.

Christian Hunt, *Founder, Human Risk, UK*

10.50am - 11.40am SAST

Green Crime: Intel to Action

Environmental crime – including environmental pollution, the illegal wildlife trade, and illegal logging, fishing and mining – is estimated to be worth over US\$250 billion per year globally, making it the fourth largest criminal activity after drugs trafficking, counterfeiting and human trafficking. Furthermore, according to the UN, environmental crimes are constantly increasing, by 5-7% per year.

This session will provide a high-level discussion of environmental crime, including its links to transnational organised crime, corruption as an enabler, and its ever-changing typologies. It will also consider how financial institutions, via their compliance functions, can combat environmental crime by utilising their ability to follow the money and to identify valuable information that can be shared across the sector and reported to authorities.

Amanda Gore, *Managing Director, Centre for Global Advancement (C4GA), Kenya*

Dr Ian Cruickshank, *Transport Taskforce Manager, United for Wildlife, South Africa*

Atuweni Juwayeyi-Agbermodji, *AML/CFT Program Officer, United Nations Office on Drugs and Crime (UNODC), Malawi*

Julian Rademeyer, *Director (East and Southern Africa), Global Initiative against Transnational Organized Crime, South Africa*

<p>11.45am - 12.35pm SAST</p>	<p>Known Unknowns: The Threat of Cybercrime</p> <p>Before 2000, Africa hosted only 4.5 million internet users. Today there are close to 400 million users online across the continent. With improvements in internet coverage, the growing availability of cyber-tools, and the increasing maturity and flexibility of cybercrimes, cybercriminals in Africa are becoming bolder and more sophisticated.</p> <p>This session will examine the latest trends in cybercrime and the evolving conditions that enable criminals to commit such offences. It will also provide advice on how companies and individuals can build more cyber secure and resilient digital capabilities.</p> <p>Mohamed Chawki, <i>Chairman, International Association of Cybercrime Prevention, Egypt</i> Charl van der Walt, <i>Head of Security Research, Orange Cyberdefense, South Africa</i> Collins Oduor, <i>Cyber Security Expert, Tanzania</i></p>
<p>12.45pm – 1.25pm SAST</p>	<p>Working Between the Lines</p> <p>Do your frontline staff truly regard themselves as accountable risk owners? And what is their view of compliance and risk oversight?</p> <p>The gulf between theory and practice can be glaring when implementing 3 lines of defence (3LOD) or a combined assurance model. The knock-on effects include questionable governance and friction in the relationship between the first, second and third lines of defence.</p> <p>This session offers a real-world perspective on how to practically develop a positive and constructive relationship between the risk ownership and risk oversight functions, as well as the benefits of doing so from both a business and compliance perspective.</p> <p>Pattison Boleigha, <i>Group Chief Conduct and Compliance Officer, Access Bank, Nigeria</i> Nicola Comminos, <i>Group Chief Risk Officer, JSE, South Africa</i></p>
<p>1.30pm – 2.20pm SAST</p>	<p>The Crime-Terror Nexus</p> <p>Terrorist activity in Africa has risen sharply over the last decade, with key developments including the increased sophistication of terrorist financing, the potential for bioterrorism in Africa, and the growing resilience of the most prominent regional terrorist groups, such as Boko Haram in Nigeria, Al-Shabaab in Somalia, and Ansar al-Sunna in Mozambique.</p> <p>These regional terrorist groups take advantage of poor governance and widespread corruption to engage in criminal activities, including kidnapping for ransom (KFR), drugs trafficking, cattle rustling and the smuggling of precious metals. This convergence is known as the ‘crime-terror nexus’.</p> <p>Understanding the crime-terror Nexus provides the first step towards countering the problem of terrorism. In this session the panel will discuss the nexus and its most recent developments. Typologies linked to terrorist activity in Africa will also be considered, as well as how financial institutions can use past regional and international responses to combat this threat going forwards.</p> <p>Ross Savage, <i>Course Director: Global Lead Sanctions, ICA, UK</i> Chris Hessenflow, <i>Special Agent, US Homeland Security, and Assistant Attaché, US Embassy Pretoria, South Africa.</i> Christopher (Topher) Hockey, <i>Research Fellow, Royal United Services Institute (RUSI), Kenya</i></p>

2.25pm – 3.10pm SAST

Codes of conduct: Preparation, compliance and enforcement

Codes of conduct are not a new concept, but have been a comparatively rarely used mechanism in the European privacy landscape. Recently, this has started to change. With South Africa's Information Regulator notifying receipt of various codes of conducts regarding the financial, medical and telecommunications sectors, among others, understanding the drafting, approval and compliance processes are an increasingly dynamic aspect of complying with the conditions of lawful processing under POPIA.

This session will discuss what has happened so far, who is impacted and how, as well as what this process looks like in South Africa and beyond. It will also consider the benefits and potential challenges related with the mechanism.

Amelia Williams, *Privacy Analyst EMEA*, **OneTrust DataGuidance**

Alexis Galanis, *Lead Privacy Analyst EMEA*, **OneTrust DataGuidance**